OCT 2 4 2006

ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for form 1449/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	5

Complete if Known	
Application Number	10/690258-Conf. #3419
Filing Date	October 21, 2003
First Named Inventor	Oscar A. Chappel
Art Unit	3626
Examiner Name	Not Yet Assigned
Attorney Docket Number	92717-00344USP1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.¹	Document Number Number-Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1*	US-6,067,541	05-23-2000	Raju et al.
	A2*	US-6,151,585	11-21-2000	Altschuler et al.
	A3*	US-6,493,731	12-10-2002	Jones et al.
	A4*	US-20020116466-A1	08-22-2002	Trevithick et al.
	A5*	US-20020169737-A1	11-14-2002	Armstrong et al.
	A6*	US-6,594,673	07-15-2003	Smith et al.
	A7*	US-20020147710-A1	10-10-2002	Hu
	A8*	US-20020178161-A1	05-02-2006	BREZIN et al.
	A9*	US-6,581,040	06-17-2003	Wright et al.
	A10*	US-6,161,113	12-12-2000	Mora et al.
	A11*	US-5,765,140	06-09-1998	Gundewar et al.
	A12*	US-6,381,610	04-30-2002	Knudson et al.
	A13*	US-6,901,372	05-31-2005	Helzerman
	A14*	US-7,031,930-A1	04-18-2006	Freeman et al.
	A15*	US-5,903,453	05-11-1999	Stoddard II
	A16*	US-6,272,678	08-07-2001	Imachi et al.
	A17*	US-6,336,217	01-01-2002	D'Anjou et al.
	A18*	US-6,715,130	03-30-2004	Eiche et al.
	A19*	US-5,553,282	09-03-1996	Parrish et al.
	A20*	US-5,960,196	09-28-1999	Carrier, III et al.
	A21*	US-5,671,360	09-23-1997	Hambrick et al.
	A22*	US-5,826,239	10-20-1998	Du et al.
	A23*	US-6,115,646	09-05-2000	Fiszman et al.
	A24*	US-6,279,042	08-21-2001	Ouchi
	A25*	US-6,278,977	08-21-2001	Agrawal et al.
	A26*	US-6,430,538	08-06-2002	Bacon et al.
	A27*	US-6,578,006	06-10-2003	Saito et al.
	A28*	US-6,904,454-A1	06-07-2005	Stickler et al.
	A29*	US-20020077945-A1	06-20-2002	Leymann et al.
	A30*	US-5,930,798	07-27-1999	James M. Lawler
	A31*	US-6,073,107	06-06-2000	Minkiewicz et al.
	A32*	US-6,101,481	08-08-2000	Miller et al.
	A33*	US-4,912,669	03-27-1990	Iwamoto et al.
	A34*	US-20030191667-A1	10-09-2003	Fitzgerald et al.
	A35*	US-20030208379-A1	11-06-2003	Haskey et al.
	A36*	US-20050137912-A1	06-23-2005	Rao et al.
	A37*	US-20030167184-A1	09-04-2003	Kole
	A38*	US-20030149594-A1	08-07-2003	Beazley et al.
	A39*	US-20010034621-A1	10-25-2001	Kirsh et al.
	A40*	US-6,484,178	11-19-2002	Gary Julian
	A41*	US-20050102169-A1	05-12-2005	Wilson
	A42*	US-20040078247-A1	04-22-2004	Rowe et al.
	A43*	US-20040006495-A1	01-08-2004	Dudley
	A44*	US-6,879,959	04-12-2005	Chapman et al.
	A45*	US-20040073811-A1	04-15-2004	Sanin
	A46*	US-4,920,499	04-24-1990	Richard D. Skeirik
	A47*	US-6,999,919	02-14-2006	Lawrence et al.

Examiner Signature	/Linh Giang Le/	Date Considered	02/15/2009

DALLAS2 1191195v1 92717-00344

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /L.L./

ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for form 1449/PTO			Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT *(Use as many sheets as necessary)*			Application Number	10/690258-Conf. #3419
			Filing Date	October 21, 2003
			First Named Inventor	Oscar A. Chappel
			Art Unit	3626
			Examiner Name	Not Yet Assigned
Sheet	2	of	5	Attorney Docket Number	92717-00344USP1

A48*	US-20030101089-A1	05-29-2003	Chappel et al.	
A49*	US-20020174005-A1	11-21-2002	Chappell	
A50*	US-7,092,895-A1	08-15-2006	Chappel et al.	
A51**	US-10/336104		Chappell	
A52*	US-10/690257		Chappell	
A53**	US-09/916088		Creel et al.	
A54*	US-20020040359-A1	01-10-2002	Green et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials¹	Cite No.¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T⁶
		Country Code³-Number⁴-Kind Code⁵ (if known)			
	B1	JP-03-020866	01-29-1991	Nippon Telegraph & Telephone Corp.	√

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials¹	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
	C1	Juan C. Nogueira et al., "A Formal Risk Assessment Model for Software Evolution", US Army Research Office under grant #38690-MA & grant #40473-MA	
	C2	Michael K. Daskalantonakis, "A Practical View of Software Measurement and Implementation Experiences Within Motorola", IEEE Transactions on Software Engineering, vol. 18, no. 11 November 1992, pages 998-1010	
	C3	Edward F. Weller, "Using Metrics to Manage Software Projects", IEEE, September 1994, pages 27-33	
	C4	Dick B. Simmons et al., "Software Measurement: A Visualization Toolkit for Project Control and Process Improvement", Prentice Hall, November 1997, ISBN-0-13-840695-2	
	C5	"Caliber - Requirements Management (RM)", Http://web.archive.org/web/20000304023307/www.tbi.com/caliberrm/index.html	
	C6	H.P. Schultz, "Software Management Metrics", Mitre Corp. Report No. M88-1, May 1988 (abstract)	
	C7	Karl E. Wiegers," Automating Requirements Management", Process Impact, 1999, pages 1-6	
	C8	Bruce Abbott, "Requirements Set the Mark", Info World, March 5, 2001, pages 45-46	
	C9	Andy Feibus, "Manage Your Project's Requirements", Information Week, October 19, 1998, pages 100-108	
	C10	QSS Delivers Industry's First Enterprise-Wide Requirements Management Suite for E-Business", QSS News Archive, March 22, 2000	
	C11	D. W. Cordes, "Evaluation Method for User Requirements Documents", Information and Software Technology, May 1989, vol. 31, issue 4, pages 181 (8 pages) (abstract)	
	C12	J. Gyorkos, "Measurements in Software Requirements Specification Process", Microprocessing and Microprogramming, December 1994, vol. 40, issue 10-12, page 893 (4 pages) (abstract)	
	C13	Rita Jean Costello, "Metrics for Requirements Engineering", California State University, 1994, 211 pages (abstract)	
	C14	Jeanne Minahan Robinson, "Risk Assessment in Software Requirements Engineering: an	

Examiner Signature	/Linh Giang Le/	Date Considered	02/15/2009

DALLAS2 1194195v1 92717-00344

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /L.L./

ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for form 1449/PTO	**Complete if Known**	
	Application Number	10/690258-Conf. #3419
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	October 21, 2003
	First Named Inventor	Oscar A. Chappel
	Art Unit	3626
(Use as many sheets as necessary)	Examiner Name	Not Yet Assigned
Sheet	3	of	5	Attorney Docket Number	92717-00344USP1

		Event Driven Framework", George Mason University, 1995, 177 pages (abstract)	
	C15	Donald M. York, "An Early Indicator to Predict Requirements Volatility", George Mason University, 2001, 235 pages (abstract)	
	C16	D. Pfahl et al., "Using Simulation to Analyze the Impact of Software Requirement Volatility on Project Performance", Information and Software Technology, Nov. 15, 2000, vol. 42, issue 14, page 1001	
	C17	Capers Jones, "Strategies for Managing Requirements Creep", Software Productivity Research, June 1996, pages 92-94	
	C18	Adam A. Porter et al., "Empirically Guided Software Development Using Metric-Based Classification Trees", IEEE Software, March 1990, pages 46-54	
	C19	Max Lupul, "Forecast Pro for Windows, Version 1.1: What Does it Do?", Journal of Services Marketing, Vol. 7, No. 4, 1993, pages 67-69	
	C20	Geoffrey Hollander, "Forecast Pro Preasges Data", Infoworld, Feb. 21, 2000, Vol. 22, No. 8, pages 59-60	
	C21	John T. Mentzner et al., "Evaluating a Decision Support Forecasting System", Industrial Marketing Management, Vol. 18, 1989, Pages 313-323	
	C22	Marco Carrer et al., "An Annotation Engine for Supporting Video Database Population", Multimedia Tools and Applications 5, Kluwer Academic Publishers, 1997, pages 233-258	
	C23	Robert Gaizauskas et al., "Information Extraction: Beyond Document Retrieval", Computational Linguistics and Chinese Language Processing, Vol 3, No. 2, August 1998, pages 17-60	
	C24	Gian P. Zarri, "NKRL, a Knowledge Representation Language for Narrative Natural Language Processing", 1996, pages 1032-1035	
	C25	"FastEMC 6.2: UB92 Electronic Claim Submission System", fPTechnologies, October 31, 1999, manual	
	C26	R. Shaker et al., "A Rule Driven Bi-Directional Translation System for Remapping Queries and Result Sets Between a Mediated Schema and Heterogeneous Data Sources", pages 1-5	
	C27	Daniel T. Heinze et al., "LifeCode - A Deployed Application for Automated Medical Coding", AI Magazine, Summer 2001, pages 1-12	
	C28	Peter Jackson Benson, "A Field Study of Communication Patterns in an Organization Using the Negopy Computerized Network Analysis Technique", AAT 8728711, 1987, 223 pages (abstract)	
	C29	Mark Ackerman et al., "Social Activity Indicators: Interface Components for CSCW Systems", November 14-17, 1995, pages 159-168	
	C30	"Chapter Four: Managing Core Competencies of the Corporation", Tactic #17, Organizational Network Mapping, The Advisory Board Company", 1996, pages 393-410	
	C31	Valdis Krebs, "Organizational Network Analysis", February 2001, Orgnet.com	
	C32	Economist.com, "The Big Picture", January 4, 2001, Geneva	
	C33	Rainer Puittinen et al., "Measuring and Visualizing Information Transfer in Networked Collaboration", International Journal of Communication Systems, 1999, vol. 12, no. 85, pages 85-101	
	C34	Eero Byckling et al., "Spin-offs from CERN and the Case of TuoviWDM", Technovation, 2000, vol. 20, no. 2, pages 71-80	
	C35	Eero Eloranta et al., "Improved Project Management Through Improved Document Management", Computers in Industry, 2001, vol. 45, pages 231-243	
	C36	Marc A. Smith et al., "Visualization Components for Persistent Conversations", CHI March-April 2001, vol. 3, no. 1, pages 136-143	
	C37	Mikko Vuoikoski, "Making Intercorporate Project Communications Work Solutions for the International Market Place", Single Source OY, pages 1-13	
	C38	Ari-Pekka Hameri, "Project Management in a long-term and Global One-of-a-Kind Project", International Journal of Project Management, 1997, vol. 15, no. 3, pages 151-157	
	C39	Mokhtar Amami et al., "Project Management and Communication of Product Development	

Examiner Signature	/Linh Giang Le/	Date Considered	02/15/2009

DALLAS2 1494195v1 93747-00344

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /L.L./

ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/690258-Conf. #3419
		Filing Date	October 21, 2003
		First Named Inventor	Oscar A. Chappel
		Art Unit	3626
		Examiner Name	Not Yet Assigned
Sheet	4 of 5	Attorney Docket Number	92717-00344USP1

		Through Electronic Document Management", Project Management Journal , June 2000, vol. 31, no. 2, pages 6-19
	C40	David Krackhardt, "Social Networks and the Liability of Newness for Managers", Journal of Organizational Behavior, vol. 3, 1996, pages 159-173
	C41	Mark D. Morelli et al., "Predicting Technical Communication in Product Development Organizations", IEEE Transactions of Engineering Management, vol. 42, no. 3, August 1995, pages 215-222
	C42	Ralph Katz et al., "Communication Patterns, Project Performance, and Task Characteristics: An Empirical Investigation and Integration in an R&D Setting", Organizational Behavior and Human Performance, April 1979, vol. 23, iss. 2, page 139 (abstract)
	C43	David Krackhardt et al., "Informal Networks: The Company Behind the Chart", Harvard Business Review, July 1993, vol. 74, iss. 4, page 104 (abstract)
	C44	Stanley Wasserman et al., "Social Network Analysis: Methods and Applications", Cambridge University Press, 1994
	C45	James Gregory Jones, "A Study of Communications Between Subject Matter Experts and Individual Students in Electronic Mail Contexts", Dissertation, University of Texas at Austin, May 2001
	C46	Mehul A. Shah, "ReferralWeb: A Resource Location System Guided by Personal Relations", Massachusetts Institute of Technology, May 1997
	C47	Dick B. Simmons, "Manager Associate", IEEE, Transactions on Knowledge and Data Engineering, vol. 5, no. 3, June 1993, pages 426-438
	C48	Dick B. Simmons, "A Win-Win Metric Based Software Management Approach", IEEE Transactions on Engineering Management, vol. 39, no. 1 February 1992, pages 32-41
	C49	Dick B. Simmons, "Communications: A Software Group Productivity Dominator", Software Engineering Journal, November 1991, pages 454-462
	C50	Ari-Pekka Hameri et al., "Distributed New Product Development Project Based on Internet and World-Wide Web: A Case Study", Product Innovation Management, 1997, vol. 14, pages 77-87
	C51	Laura Garton et al., "Studying On-Line Social Networks", Chapter Four of "Doing Internet Research", Steven Jones Editor, Sage Publications, 1999, pages 75-105
	C52	Ali A. Shah et al., "The Production of Accurate Construction Cost Estimates in Saudi Arabia", Cost Engineering, August 1992, vol. 34, no. 8, pages 15-24
	C53	Spyros Makridakis, "Accuracy Measures: Theoretical and Practical Concerns", International Journal of Forecasting, vol. 9, no. 4, December 1993, page 527-529
	C54	Raymond A. Paul et al., "Software Metrics Knowledge and Databases for Projected Management", IEEE Transactions on Knowledge and Data Engineering, vol. 11, no. 1, January/February 1999, pages 255-264
	C55	Ching-Seh Wu, "Software Project Plan Tracking Intelligent Agent", Dissertation, Texas A&M University, December 2000
	C56	Mario Alberto Garcia Espinosa, "Intelligent Agents Applied to Software Management", Texas A&M University, Dissertation, August 1997
	C57	Rational RequistiePro: Product Overview, Rational.com, retrieved from Archive.org
	C58	Forecast Pro Product Brochure: Fast, Easy, Accurate Forecasting, Business Forecast Systems, 2000, pages 1-12
	C59	G. Libert, "The M-Competition With a Fully Automatic Box-Jenkins Procedure", Journal of Forecasting, July-September 1984, vol. 3, no. 3, pages 325-328
	C60	Pamela Texter, "Automatic Forecasting of Multivariate Time Series", Pennsylvania University, 1986, AAT 8705423, abstract only
	C61	M. Hashem Pesaran et al., "A Generalized R Squared Criterion for Regression Models Estimated by the Instrumental Variables Method", Econometrica, vol. 62, no. 3, May 1994, abstract only

Examiner Signature	/Linh Giang Le/	Date Considered	02/15/2009

DAII.A32 1034125VI-92717.00XX

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /L.L./

ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT *(Use as many sheets as necessary)*				Application Number	10/690258-Conf. #3419
				Filing Date	October 21, 2003
				First Named Inventor	Oscar A. Chappel
				Art Unit	3626
				Examiner Name	Not Yet Assigned
Sheet	5	of	5	Attorney Docket Number	92717-00344USP1

	C62	Leonard Tashman et al., "Automatic Forecasting Software: A Survey and Evaluation", International Journal of Forecasting, 1991, vol. 7 no. 2, abstract	
	C63	Nagata Yasushi et al., "Comparison of Determination Coefficient Estimators in the Linear Regression Analysis", Hinshitsu, vol. 27, no. 2, 1997, abstract only	
	C64	Elvezio Ronchetti, "Regression and Time Series Model Selection", Journal of the American Statistical Association, September 2000, vol. 95, no. 451, pages 1008-1009	
	C65	Chris Chatfield, "Time-Series Forecasting", Chapman & Hall/CRC, October 25, 2000, ISBN: 1584880635	
	C66	Allan D. R. McQuarrie et al., "Regression and Time Series Model Selection", World Scientific, August 1998, ISBN: 981023242X	
	C67	Beiyao Zheng, "Summarizing the Goodness of Fit of Generalized Linear Models for Longitudinal Data", Journal of Statistics in Medicine, vol. 19, no. 10, 2000, abstract only	
	C68	Rational RequisitePro – User's Guide Version 4.5, Rational, 1999	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Linh Giang Le/		Date Considered	02/15/2009

DALLAS2 1194195v1 92717-00344

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /L.L./